**TO: Prospective Applicants**

**SUBJECT: RFA NO.** RFA3- BASPs-2017

**REQUEST FOR APPLICATION S FOR: PREQULAIFICATION OF BUSINESS ADVISORY SERVCIE PROVIDERS IN KENYA**

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| **REQUEST FOR APPLICATION (RFA)** |
| **RFA NUMBER:**RFA No. RFA3- BASPs-2017 | **ISSUE DATE:****December 1, 2017** |
| **CLIENT:** Global Communities | **PROGRAM:**Agribusiness Investment for Market Stimulation (AIMS) |
| **PREQULAIFICATION OF BUSINESS SERVCIE PROVIDERS** |
| **ISSUED BY:** Cooperative Housing Foundation, doing business as Global Communities227 Grevillea Grove, WestlandsPO Box 1661 Sarit CentreNairobi 00606,Kenya[www.globalcommunitieskenya.org](http://www.globalcommunitieskenya.org)  | **APPLICATION DUE DATE: Applications are received and evaluated on an ongoing basis.**  |
| **This Request for Application contains the following sections:** |
| Part 1 | Instructions to Applicants |
| Part 2 | Evaluation Factors |
| Part 3 | Statement of Work |
| Part 4 Annexes | Annex A – Prequalification application form |

**Back Ground.**

The Cooperative Housing Foundation, doing business as Global Communities, implements the Agribusiness Investment for Market Stimulation (AIMS) Program, a United States Department of Agriculture (USDA) funded three country initiative to support small and medium size enterprises (SMEs) to increase their volumes and value in agriculture trade.

Global Communities has recently undertaken a needs assement to establish the business advisory service (BAS) needs of agribusiness SMEs. The results of this survey indicate that most agribusiness SMEs require BAS in one or more of the following service categories:

|  |  |
| --- | --- |
| Service Category Number | Description  |
| GC001 | Book Keeping, Accounting, and Tax compliance.  |
| GC002 | Business plan development |
| GC003 | Human resource  |
| GC004 | General legal Services (Business registration, development of Memarts,contracts,leases) |
| GC005 | Production, Inventory, quality assurance and Standards certification. |
| GC006 | Sales Marketing and advertising Packaging, exporting  |

Global Communities is therefore seeking to prequalify business advisory service providers (BASPs) to provide assistance to agribusiness SMEs, including producer groups and cooperatives to address these service needs. Under this opportunity, Global Communities will link the prequalified BAS providers with the SMEs based on client demand in the above referenced target areas. The fee for the service offered by the BAS providers is expected to be covered by the SMEs. Global Communities may contribute to the payment to the provider at the sole discretion of Global Communities. This shall be determined during contracting.

The purpose of this RFA is to therefore to invite qualifying firms desirous to provided business advisory services to submit their application for prequalification.

Interested firms should submit their applications electronically in accordance with the language of the solicitation to the address specified.

Responding firms are advised that this solicitation does not in any way obligate Global Communities to compensate the responding firms for any costs associated with the preparation and submission of their application s.

Any questions or requests for information should be addressed in writing, to keconsultancy@globalcommunities.org and copied to fkimanzi@globalcommunities.org

Any information given to one prospective applicant concerning this solicitation will be furnished to all such applicants as an amendment of the solicitation.

Please e-mail Global Communities at keconsultancy@globalcommunities.org of your intent to propose at the earliest practicable date, so that we may anticipate the number of applications to be evaluated and also to enable Global communities to share with you on any subsequent correspondences regarding this RFA.

## This RFA is open to interested applicants who meet the following mandatory requirements:

1. Registered to do business in Kenya.

## Have at least 5 years’ of experience supporting SMEs (experience supporting agribusiness is a plus).

1. Have a private sector, commercial orientation.( Fee for service approach)

## Part 1: Instructions to Applicants

1. Applicants are requested to submit an application for prequalification directly responsive to the terms, conditions, and clauses of this RFA and strictly in accordance to the Prequalification application form (**Annex A)**. Applications not conforming to this format will not be evaluated.
2. Applicants may submit one application for more than service category.
3. Application s must remain valid for a period at least **12 months** from the date of submission.
4. Applications should be submitted electronically - Internet email with up to 2 attachments (2MB limit) per email compatible with PDF,MS WORD, and Adobe Acrobat in a MS Windows environment to: keconsultancy@globalcommunities.org
5. All applications received in response to this solicitation will be reviewed strictly as submitted and in accordance with the evaluation criteria specified in Part 2, Evaluation Factors.
6. The person signing the application form must have the authority to commit the applicant to all the provisions listed in the application.
7. Global Communities is not obligated to pay for any costs incurred by the applicant in preparation of an application in response hereto.
8. Applicants are informed that Global Communities complies with U.S. Sanctions and Embargo laws and Regulations including Executive Order 13224 on Terrorist Financing, which effectively prohibit transactions with persons or entities that commit, threaten to commit or support terrorism. Any person or entity that participates in this bidding process, either as a prime or sub to the prime, must certify as part of the bid that he or it is not on the U.S. Department of Treasury Office of Foreign Assets Control (OFAC) Specially Designated Nationals (SDN) List and is eligible to participate. Global Communities shall disqualify any bid received from a person or entity that is found to be on the List or otherwise ineligible.
9. Firms or individuals that are included on the Excluded Parties List System ([www.epls.gov](http://www.epls.gov/)) shall not be eligible for financing and shall not be used to provide any commodities or services contemplated by this RFA.

## Part 2: Evaluation Factors

1. The evaluation factors presented below will serve as the basis upon which Applications will be evaluated. The relative weight accorded to each factor is expressed in points up to 100 points. (60% Technical strength and 40 % Cost competitiveness and reasonableness) The prequalification cut-off mark is 50% on the overall score with atlteast 50% in the technical Score)
2. Global Communities will evaluate all applications received based on the above evaluation criteria. This review may include follow-up questions as well as face to face interviews.
3. Application Evaluation Matrix per service category.

|  |  |
| --- | --- |
| 1. **Criteria**
 | **Maximum Score/Points** |
| Technical Application  | Technical understanding  | 20 |
| Team Composition and Qualifications  | 10 |
| Organizational Specialized competency | 10 |
| Past Experience/Performance | 20 |
| Fee Structure | Cost competitiveness and reasonableness and justifications (Proposed daily rate) | 40 |
| Total Maximum Score | 100 |

1. Global Communities will rank the applicants on the overall points obtained in each specialization category applied and in consideration of Geographical coverage.

## Part 3: Statement of Work

1. **Background**

Launched in December 2014 AIMS is a USDA funded program that seeks to expand trade in key agricultural commodities by increasing bank financing to small and medium agribusiness enterprises, and by multiplying their trade outlets. As part of this program Global Communities is to build capacity of agribusiness SMEs to meet basic bank loan eligibility requirements and to take advantage of market opportunities.

The AIMS Program intends to support a total of **2800** agribusiness SMES in **Kenya, Tanzania and Malawi** with business strengthening training and capacity building. The support is to be based on fee-for-service using a sustainable model to promote support service beyond the life of the program. The role of BASPs in AIMS will be to build the capacity of agribusiness SMEs to access commercial credit and market opportunities with the overall objective of expanding agriculture trade in Kenya, Tanzania and Malawi.

In the context of the AIMS program:

1. An SME refers to: A small medium enterprises with a minimum of 5 and a maximum of 100 employees with an annual sales turnover ranging between $ 50,000 and $ 2,000,000.
2. A cooperative refers to an autonomous associations of persons united voluntarily to meet their common economic, social and cultural needs and aspirations through a jointly-owned and democratically controlled enterprise with an annual sales turnover ranging between $ 50,000 and $ 2,000,000.
3. The AIMS program targets to support **1200** SMEs in Kenya **1000** in Tanzania and **600** in Malawi and cooperatives in the agribusiness sector in **Kenya** with business advisory services.
4. **Service Needs and Prequalification Categories.**

Global Communities recently carried out a needs assessment targeting SMEs and Cooperatives in the Agribusiness sector. The main objective of this survey was to inform the AIMS program on the needs faced by agribusinesses SMEs and cooperatives in the specific value chains supported by the program. (Dairy/livestock, grains/pulses and horticulture).This survey identified that SMEs as well as cooperatives in this sector are in need of the following service categories.

|  |  |
| --- | --- |
| Service Category Number | Description  |
| GC001 | Book Keeping, Accounting, and Tax compliance.  |
| GC002 | Business plan development |
| GC003 | Human resource  |
| GC004 | General legal Services (Business registration, development of Memarts,contracts,leases) |
| GC005 | Production, Inventory, quality assurance and Standards certification. |
| GC006 | Sales Marketing and advertising Packaging, exporting  |

1. **Responsibilities and deliverables of the BASP upon prequalification**
2. Participate in the AIMS program forums for purposes of interacting with SMEs and cooperatives
3. Sign up contracts of engagement with the service recipient (client) upon engagement, the service agreement will specify the detailed scope of work as well as the payment terms.
4. Provide the service in accordance with service agreement.
5. Provide Global Communities with relevant and accurate report and data on the progress and impact of the service delivery.
6. **Responsibility for Global Communities**
7. Recommend the prequalified BASPs with apex, trade and organizations for further business opportunities with their members.
8. Conduct a BASPs induction forum to introduce the AIMS program, mode of engagement and share results from assessments.
9. Link prequalified BASPs with SMEs and Cooperatives under the AIMS program directly and through events including trade fairs, business to business forums and other fora
10. Support the prequalified BASPs in accessing continuous professional development opportunities.
11. Provide the prequalified BASPs with access to materials relevant in the delivery of the required service including the needs assessment report, the SME self assement tool, the BASP contracting templates, information on target businesses.
12. Provide continuous review and monitoring of the performance of the prequalified BASPs.
13. **Responsibility for the SMEs /Cooperatives (Clients)**
14. Participate in the AIMS program forums for purposes of interacting with BAPS
15. Sign contractual service agreements and courses with BASPs based on need and ability to pay.
16. Undertake a detailed self-assessment with the view of identifying specific service gaps to guide the BASP in providing effective support, as appropriate.
17. Share relevant information and data with the BASP as well as Global Communities as may become necessary.
18. Evaluate the services provided by the BAPS.

**-END OF RFA-**

# Annex A – BASPS - Prequalification application form

1. **Applicants Profile**

|  |  |
| --- | --- |
| Applicants business Name  |  |
| Postal address |  |
| Business Office Location |  |
| Telephone Contact |  |
| Email Address |  |
| Website link |  |

1. **Applicants Business Details**

|  |  |
| --- | --- |
| Nature of Business registration  | *(Indicate if sole proprietor, Limited company, individual, partners etc.)* |
| List of Directors/Partners |  |
| Date of registration  |  |
| List of Licenses obtained |  |
| Tax registration Number  |  |

1. **Service Category Application**

|  |  |  |
| --- | --- | --- |
| Service Category Number | Description  | Tick to apply for one or more categories based on applicants area of specialization. |
| GC001 | Book Keeping, Accounting, and Tax compliance.  |  |
| GC002 | Business plan development |  |
| GC003 | Human resource  |  |
| GC004 | General legal Services (Business registration, development of Memarts, contracts, leases) |  |
| GC005 | Production, Inventory, quality assurance and Standards certification. |  |
| GC006 | Sales Marketing and advertising Packaging, exporting  |  |

1. **Regional Coverage.**(Tick regions where applicant applies to provide services for the selected categories)

|  |  |  |  |
| --- | --- | --- | --- |
| Country | Region | Geographical coverage base on counties | Tick as applicable |
| Kenya | Nairobi | Nairobi County, Kiambu |  |
| Lower Eastern- | Machakos, Kitui, Makueni, Taita Taveta |  |
| Upper Eastern | Meru, Embu, Tharaka Nthi,  |  |
| Western | Kakamega, Vihiga, Busia,Bungoma |  |
| Central | Nyeri, Muranga, Kiririnyaga, Laikipia, Isiolo |  |
| South Rift | Bomet,Kissi, Nyamira, Kericho, Nakuru, Narok,Kanjiando |  |
| North Rift | Trazoia, Uasing Gishu, Nadi and Elgeyo Marakwet, Baringo. |  |
| Nyanza | Kisumu, Homabay, Siaya, Migori |  |
|  | Coast | Kwale, Kilifi, Mombasa. |  |

1. **Applicants Technical Understanding**

|  |  |
| --- | --- |
| Category applied for | Applicants understanding of the specific capacity gaps facing SMES/Coops in this category ( Provide a summarized brief of these Gaps) |
| GC001. |  |
| GC002. |  |
|  |  |
|  |  |
| GC00…n |  |

1. **Team Composition and Qualifications**

The applicant should include the names and anticipated positions of the individuals proposed to provide the services in each of the categories being applied for.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Category Number | Name of Resource Person | Academic Qualification | Relevant Experience | Specific responsibilities.  |
| GC001. |  |  |  |  |
| GC002. |  |  |  |  |
|  |  |  |  |  |
| GC00…n |  |  |  |  |

NB: For each resource person listed above submit as an attachment, a complete and current resume for each proposed professional employee (not exceeding 3 pages each). The resumes should clearly describe the individuals’ education, experience, contacts, and professional credentials and be duly signed by the respective person.

1. **Organizational Specialized Competence.**

|  |  |
| --- | --- |
| **Category**  | *Applicants to indicate specialized competence the organization possesses with regard to their area of specialization/service category.* |
| GC001. |  |
| GC002. |  |
|  |  |
| GC00…n |  |

1. **Applicants Past Experience/Performance**

Applicant to list three service recipients directly supported in the last 5 years in each of the service categories applied for. In the following format.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Category  | Name of Service recipient | Area supported (BDS provided) | Location | Contacts |
| GC001. |  |  |  |  |
| GC002. |  |  |  |  |
|  |  |  |  |  |
| GC00…n |  |  |  |  |

1. **Fees Structure**

Indicate the fees structure for the services applied. Break out trainings and direct technical assistant.

|  |  |  |
| --- | --- | --- |
| **Category**  | **Daily rate per resource person. (8 Hour Day)**  | **Explanatory notes (Cost Justification)**  |
| GC001. |  |  |
| GC002. |  |  |
|  |  |  |
| GC00…n |  |  |

*\*Fees amounts should not include transport and accommodation costs as this will be case specific and will be agreed upon at the point of engagement.*

1. **Mandatory Attachments**

## The following documents must be attached to the prequalification application.

1. Certificate of registration/incorporation for firms.
2. Copies of identity documents for individual applicants
3. Copies of CVs for the technical staff dully signed.
4. A copy of tax certificate
5. Copies of any professional licenses and/or certifications obtained.

Signed by\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_